## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| <b>STATEMENT</b> | OF CHANGES | S IN BENEFIC | IAL OWNER | SHIP |
|------------------|------------|--------------|-----------|------|

| OMB APPROVAL         |           |  |  |  |  |  |  |  |
|----------------------|-----------|--|--|--|--|--|--|--|
| OMB Number:          | 3235-0287 |  |  |  |  |  |  |  |
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| hours per response:  | 0.5       |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  BAND SIR JONATHON                        |  |         |          |   | 2. Issuer Name <b>and</b> Ticker or Trading Symbol  CARNIVAL PLC [ CUK ] |  |  |  |   |   |                    |   | 5. Relationsh<br>(Check all ap<br>X Dire |   | olicable)   | ,  | Person(s) to Issuer<br>10% Owner |                  |
|--|--|---------|----------|---|--|--|--|--|---|---|--------------------|---|--|---|---|--|----------------------------------|------------------|
| (Last)   | (Fii   | rst) (I | Middle)  |   |  | 3. Date of Earliest Transaction (Month/Day/Year) 10/03/2019                            |  |  |   |   |                    |   |  |   | Office<br>below   | er (give title<br>w)   | Othe<br>belov                    | r (specify<br>v) |
| 3655 N.W. 87TH AVENUE  |  |         |          | 4. If                                   | 4. If Amendment, Date of Original Filed (Month/Day/Year)                 |  |  |  |   |   |                    | 6. Individual or Joint/Group Filing (Check Applicable Line) |  |   |   |  |                                  |                  |
| (Street) MIAMI   | FL   |         | 33178    |   |  | X Form filed by One Reporting Per Form filed by More than One Re Person                |  |  |   |   |                    |   |  |   |   |  |                                  |                  |
| (City)   | (St  |         | Zip)     |   |  |  |  |  |   |   |                    |   |  |   |   |  |                                  |                  |
| Table I - Non-Deriv  1. Title of Security (Instr. 3)  2. Transac Date (Month/Date) |  |         | tion     | ion 2A. Deemed Execution Date,          |  | 3.<br>Transa<br>Code (<br>8)   | 3. Transaction Code (Instr. 8) 4. Securitie Disposed C |  | es Acquired (A) or<br>Of (D) (Instr. 3, 4 a |   | nd 5)              | 5. Amount   |  | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)   | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |                                  |                  |
| Trust Shares (beneficial Interest In Special Voting Share) <sup>(1)</sup>          |  |         | 10/03/2  | 2019                                    |  |  |  | Code   | V   | 5,000   | (D)<br>D           | File  | 8302                                     | (Instr. 3 and 4)<br>18,736.244 <sup>(2)</sup>   |   | D  |                                  |                  |
|  | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |         |          |   |  |  |  |  |   |   |                    |   |  |   |   |  |                                  |                  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                                | Derivative Conversion Date Execution Date Courity or Exercise (Month/Day/Year) if any  |         | on Date, | 4.<br>Transaction<br>Code (Instr.<br>8) |  | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |  | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |   | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>and 4) |                    | Deri<br>Sec<br>(Ins   | vative durity S<br>r. 5) B<br>C<br>F     | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s<br>(Instr. 4) | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                                  |                  |
|  |  |         |          |   | Code   | v  | (A)  | (D)  | Date<br>Exercis                             | sable   | Expiration<br>Date | Title   | Amoun<br>or<br>Numbe<br>of<br>Shares     |   |   |  |                                  |                  |

## **Explanation of Responses:**

1. Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (f/k/a P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to holders of common stock of Carnival Corporation (the "Carnival Corporation Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.

 $2. \ Includes \ shares \ acquired \ pursuant \ to \ the \ dividend \ reinvestment \ feature \ of \ the \ reporting \ person's \ brokerage \ account \ decided a \ decided a$ 

/s/ Sir Jonathon Band 10/07/2019

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.