SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	nber: 3235-0287					
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	or Section 30(h) of the Investment Company Act of 1940	-			
1. Name and Address of Reporting Person [*] Anderson Peter C.	2. Issuer Name and Ticker or Trading Symbol <u>CARNIVAL PLC</u> [CUK]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title Other (specify			
(Last) (First) (Middle) CARNIVAL CORPORATION 3655 N.W. 87TH AVENUE	3. Date of Earliest Transaction (Month/Day/Year) 07/14/2021	Chief Ethics & Compliance			
(Street) MIAMI FL 33178 (City) (State) (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person			
	Iderson Peter C. CARINALVAL FEC [CON] Director 10% Owner (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Officer (give title Other (specify below) N.W. 87TH AVENUE 3. Date of Criginal Filed (Month/Day/Year) Chief Ethics & Compliance t) MI FL 33178				

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.				5. Amount of Securities Beneficially Owned Following		7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Trust Shares (beneficial Interest In Special Voting Share) ⁽¹⁾	07/14/2021		F		1,422 ⁽²⁾	D	\$23.0349	67,668	D	

(e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and 1. Title of 3. Transaction 3A. Deemed 5. Number 7. Title and 8. Price of 9. Number of 10. 11. Nature Derivative Execution Date, Expiration Date (Month/Day/Year) Derivative of Indirect Conversion Date (Month/Day/Year) Transaction Amount of derivative Ownership Derivative or Exercise Price of Derivative Security (Instr. 3) if any Code (Instr. 8) Securities Security (Instr. 5) Securities Form: Beneficial (Month/Day/Year) Direct (D) Underlying Derivative Securities Beneficially Ownership or Indirect (I) (Instr. 4) Acquired Owned (Instr. 4) (A) or Disposed of (D) (Instr. 3, 4 Security (Instr. Security Following 3 and 4) Reported Transaction(s) (Instr. 4) and 5) Amount Number Date Expiration Date of Code v (A) (D) Exercisable Title Shares

Explanation of Responses:

1. Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (f/k/a P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.

2. Represents a surrender of shares to satisfy a tax obligation on the vesting of restricted stock units granted August 28, 2020.

/s/ Peter C. Anderson

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed nursuant to Section 16(a) of the Securities Exchange Act of 1934

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

07/16/2021

Date