FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF Estimated ave

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OMB APPROVAL

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## SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

or Section 30(h) of the Investment Company Act of 1940 2. Date of Event 3. Issuer Name and Ticker or Trading Symbol 1. Name and Address of Reporting Person Requiring Statement CARNIVAL PLC [ CUK ] weinstein joshua ian (Month/Day/Year) 08/01/2022 4. Relationship of Reporting Person(s) to 5. If Amendment, Date of Original (Last) (First) (Middle) Issuer Filed (Month/Day/Year) (Check all applicable) C/O CARNIVAL CORPORATION Director 10% Owner X 6. Individual or Joint/Group Filing 3655 N.W. 87TH AVENUE (Check Applicable Line) Officer (give Other (specify title below) Form filed by One Reporting below) Person (Street) President & CEO Form filed by More than One **MIAMI** FL 33178 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security (Instr. 4) 2. Amount of Securities 3. Ownership 4. Nature of Indirect Beneficial Beneficially Owned (Instr. Form: Direct Ownership (Instr. 5) (D) or Indirect (I) (Instr. 5) Trust Shares (beneficial Interest In Special Voting Share)(1) 43,909.228(2) **Table II - Derivative Securities Beneficially Owned** (e.g., puts, calls, warrants, options, convertible securities) 3. Title and Amount of Securities 6. Nature of 1. Title of Derivative Security (Instr. 4) 2. Date Exercisable and Conversion Indirect Beneficial **Expiration Date Underlying Derivative Security** Ownership (Month/Day/Year) (Instr. 4) or Exercise Form: Ownership (Instr. Direct (D) Price of 5) Amount Derivative or Indirect (I) (Instr. 5) or Security Number **Expiration** Exercisable Title **Shares** 

## **Explanation of Responses:**

- 1. Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (f/k/a P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.
- 2. Includes 38,954 restricted stock units ("RSUs") granted on January 19, 2021 pursuant to the Carnival Corporation 2020 Stock Plan. The grant of RSUs represent a hypothetical interest in Carnival Corporation common stock. One third of the original grant of 58,431 RSUs vested on January 19, 2022 and the remaining 38,954 RSUs will vest on a pro rata basis on the second and third anniversary dates of the grant. The RSUs will accumulate dividend equivalents and may only be settled in shares. The grant was approved by the Compensation Committee as a total value to be received in the form of RSUs. The Compensation Committee also approved that the number of RSUs were determined by dividing the value by the closing price on date of grant.

<u>/s/ Josh Weinstein</u> <u>08/05/2022</u>

\*\* Signature of Reporting

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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