# SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1	ddress of Reporting I CHARD D	Person*	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>CARNIVAL PLC</u> [ CUK ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner				
	ast) (First) (Middle) /O CARNIVAL CORPORATION 655 NW 87TH AVENUE		3. Date of Earliest Transaction (Month/Day/Year) 10/13/2003	X Officer (give title Other (specify below) below) Sr. VP, Mgt. Advisory Services				
(Street) MIAMI FL 33178		33178 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	<ul> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting Person</li> </ul>				
		Table I - Non-D	Derivative Securities Acquired, Disposed of, or Ben	eficially Owned				

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	on Date, Transaction Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)				6. Date Exerci: Expiration Dat (Month/Day/Ye	e	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v			Date Exercisable	Expiration Date	ation Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Stock Option (Right to Buy) <sup>(1)</sup>	\$34.45	10/13/2003		A		20,000		10/13/2004 <sup>(2)</sup>	10/13/2013	Trust Shares (beneficial interest in special voting share) <sup>(3)</sup>	20,000	\$0	20,000	D	

Explanation of Responses:

1. Represents stock options granted under the Carnival Corporation 2002 Stock Plan.

2. The options vest ratably over five years beginning on the first anniversary of the grant date, October 13, 2003

3. Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (f/k/a P&O Princess Cruises plc) and Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transaction; the "trust Shares were distributed to holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction of the "DLC Transaction", Carnival Plc States of the States of transaction of the "DLC Transaction"), Carnival Plc States of the States of transaction of the "DLC Transaction", Carnival Corporation Common Stock". Since completion of the DLC Transaction of the "LCC Transaction" (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction of the TLC Transaction of the "LCC Transaction" (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock of a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.

**Remarks:** 

### Richard D. Ames

\*\* Signature of Reporting Person

10/13/2003

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.